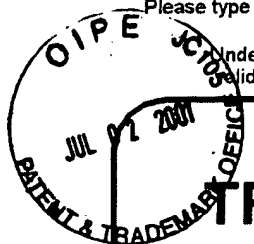


Please type a plus sign (+) inside this box → ☒

(Modified) PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

12

Application Number

09/776,233

Filing Date

February 2, 2001

First Named Inventor

Nikolai F. Sepetov

Group Art Unit

Unassigned

Examiner Name

Unassigned

Attorney Docket Number

33-000320US

ENCLOSURES (check all that apply)



Fee Transmittal Form



Fee Attached



Amendment / Response



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)



Response to Missing Parts/
Incomplete Application



Response to Missing
Parts under 37 CFR
1.52 or 1.53



Assignment Papers
(for an Application)



Drawing(s)



Licensing-related Papers



Petition Routing Slip (PTO/SB/69)
and Accompanying Petition



Petition to Convert to a
Provisional Application



Power of Attorney, Revocation
Change of Correspondence
Address



Terminal Disclaimer



Small Entity Statement



Request for Refund



After Allowance Communication
to Group



Appeal Communication to Board
of Appeals and Interferences



Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Additional Enclosure(s)
(please identify below):

declaration, 3.73(b) form,
notice of missing parts,
receipt acknowledgment
postcard

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Christopher C. Sappenfield, Reg. No. 45,073 The Law Offices of Jonathan Alan

Quine

Signature

Christopher C. Sappenfield

Date

June 27, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: June 27, 2001

Typed or printed name

Alexandra Allison

Signature

Alexandra Allison

Date

June 27, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.
Small entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$) 1077.00

Complete if Known

Application Number	09/776233
Filing Date	February 2, 2001
First Named Inventor	Nikolai F. Sepetov
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	33-000320US

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number
Deposit
Account
Name

50-0893

Law Offices of Jonathan Alan Quine

- ☒ Charge Any Additional
Fee Required Under
37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
----------------------------	----------------------------	-----------------	----------

101	710	201	355	Utility filing fee	355
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 355

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
78	-20** = 58	9	522
Independent Claims	-3** =		
Multiple Dependent		135	135

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
----------------------------	----------------------------	-----------------

103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	78	209	39	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 657

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	139	218	695	Extension for reply within fourth month	
128	0	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					
Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$) 65					

SUBMITTED BY

Typed or Printed Name Christopher C. Sappenfield

Signature

Date

6/27/01

Complete (if applicable)

Reg. Number 45,073

Deposit Account
User ID

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: June 27, 2001

Typed or Printed Name Alexandra Allison

Signature

Date

June 27, 2001



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents,
Attn: Box Missing Parts,
Washington, D.C. 20231, on June 27, 2001

LAW OFFICES OF JONATHAN ALAN QUINE

By Alexandra Allison
Alexandra Allison

Attorney Docket No. 33-000320US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Nikolai F. Sepetov et al.

Application No.: 09/776,233

Filed: February 2, 2001

For: **NONREDUNDANT SPLIT/POOL
SYNTHESIS OF COMBINATORIAL
LIBRARIES**

Examiner: Unassigned

Art Unit: Unassigned

TRANSMITTAL LETTER - RESPONSE
TO NOTICE OF MISSING PARTS

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the "Notice to File Missing Parts of Application - Filing Date Granted" dated May 1, 2001, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b) (+ copy of Assignment - not for recordation-note: The Assignment for recordation is being sent concurrently under separate cover to Box Assignment, pursuant to the MPEP @ Page 300-M and Form PTO-1619A)
- 3) Copy of Notice of Missing Parts
- 4) Fee transmittal sheet
- 5) Transmittal Sheet
- 6) Receipt indication postcard

Please charge Deposit Account No. 50-0893 for the following fees:

Small entity: (a)	Filing Fee (§ 1.16(a)) (Small Entity)	\$ 355.00
(b)	Excess Claims Fees (§ 1.16(b), (c)):	
	78 - 20 = 58 x 9 =	\$ 522.00
(c)	Multipule Dependent Claim Surcharge	\$ 135.00
(d)	Missing Parts Surcharge	\$ 65.00
TOTAL FEES TO BE CHARGED		\$1077.00

Applicant notes that at filing small entity status was requested. Therefore, the fees for missing parts have been calculated accordingly. A copy of the application transmittal sheet is enclosed.

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 50-0893.

Respectfully submitted,



Christopher C. Sappenfield
Reg. No. 45,073

LAW OFFICES OF JONATHAN ALAN QUINE
P.O. BOX 458
Alameda, CA 94501
(510) 337-7871
Fax (510) 337-7877

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the

- ☒ patent application of
☐ continuation application of
☐ continuation-in-part patent application of

- ☐ divisional application of
☐ prior application information: Examiner _____

COPY

Inventor(s)/Applicant Identifier: Nikolai F. Sepetov et al.

For: **NONREDUNDANT SPLIT/POOL SYNTHESIS OF COMBINATORIAL LIBRARIES**

- ☐ For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can be relied upon when a portion has been inadvertently omitted from the submitted application parts.
- ☒ This application claims priority from each of the following Application Nos./filing dates: USSN 60/180,111 February 3, 2000, USSN 60/180,112 February 3, 2000, USSN 60/180,115 February 3, 2000 and USSN 60/188,937 March 10, 2000, the disclosure(s) of which is (are) incorporated by reference.
- ☐ Please amend this application by adding the following before the first sentence: "This application is a ☐ continuation ☐ continuation-in-part of and claims the benefit of U.S. Application No. _____, the disclosure of which is incorporated by reference for all purposes."

Enclosed are:

- | | |
|--|--|
| <input checked="" type="checkbox"/> 52 pages of the description (including specification, claims and abstract) | <input type="checkbox"/> Information Disclosure Statement under 37 CFR 1.97 |
| <input checked="" type="checkbox"/> 7 sheet(s) of <input type="checkbox"/> formal <input type="checkbox"/> informal drawing(s) | <input type="checkbox"/> a petition to extend time to respond in the parent application |
| <input checked="" type="checkbox"/> 1 abstract | <input type="checkbox"/> notification of change of <input type="checkbox"/> power of attorney <input type="checkbox"/> |
| <input checked="" type="checkbox"/> 54 number of claims | <input type="checkbox"/> correspondence address filed in prior application |
| <input type="checkbox"/> an assignment of the invention to _____ | <input checked="" type="checkbox"/> Patent Application Filing Acknowledgement postcard. |
| <input checked="" type="checkbox"/> a <input type="checkbox"/> signed <input checked="" type="checkbox"/> unsigned Declaration | <input checked="" type="checkbox"/> 1 extra copy of this sheet is enclosed |
| <input type="checkbox"/> a Power of Attorney | <input checked="" type="checkbox"/> Small Entity Status is Claimed |
| <input type="checkbox"/> a certified copy of a _____ application | <input type="checkbox"/> Preliminary amendment |
| <input checked="" type="checkbox"/> cover sheet | |
| <input checked="" type="checkbox"/> Application Data Entry Sheet | |

In view of the Unsigned Declaration as filed with this application and pursuant to 37 CFR 31.53(f), Applicant requests deferral of the filing fee until submission of the Missing Parts of Application.

DO NOT CHARGE THE FILING FEE AT THIS TIME

Christopher C. Sappanfield
 Reg. No. 45,073
 Attorney for Applicant

CORRESPONDENCE ADDRESS
 LAW OFFICES OF JONATHAN ALAN QUINE
 P.O. Box 458
 Alameda, CA 94501
 Telephone: (510) 337-7871
 Fax: (510) 337-7877

Customer No. 22798



22798

PATENT TRADEMARK OFFICE

Attorney Docket No. 33-000320US
 Client Reference No. 33-000320US
 Express Mail Label No. EL747540444US
 Date of Deposit: February 2, 2001
 I hereby certify that this is being deposited with the United States Postal Service Express Mail Post Office to Addressee, service under 37 CFR 1.10 on the date indicated above, addressed to: Assistant Commissioner for Patents, Washington, Box Patent Application, D.C. 20231.
 By: Alexandra Allison
 Alexandra Allison

Sector
\$

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/776,233	02/02/2001	Nikolai F. Sepetov	33-000320US

22798
LAW OFFICES OF JONATHAN ALAN QUINE
P O BOX 458
ALAMEDA, CA 94501

CONFIRMATION NO. 8150

FORMALITIES LETTER



OC000000006026547

Date Mailed: 05/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1314.
 - \$1044 for 58 total claims over 20.
 - \$270 for multiple dependent claim surcharge.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2154.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/09/2001 EEKUBAY1 00000159 500893 09776233

01 FC:201	355.00 CH
02 FC:203	522.00 CH
03 FC:204	135.00 CH
04 FC:205	65.00 CH